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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/470,042	12/22/1999	CHEAH WEE LEONG	ANDIP400	5696

29838 7590 06/25/2002

OPPENHEIMER WOLFF & DONNELLY, LLP (ACCENTURE)  
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EXAMINER

ELISCA, PIERRE E

ART UNIT	PAPER NUMBER.
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3621

DATE MAILED: 06/25/2002

Please find below and/or attached an Office communication concerning this application or proceeding.

# Office Action Summary

Application No.  
09/470,042

Applicant(s)  
Cheah Wee Leong et al.

Examiner  
Pierre E. Elisca

Art Unit  
3621



-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

## Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE THREE MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136 (a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

- 1) ☐ Responsive to communication(s) filed on 12/22/1999.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11; 453 O.G. 213.

## Disposition of Claims

- 4) ☒ Claim(s) 1-18 is/are pending in the application.
- 4a) Of the above, claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-18 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claims \_\_\_\_\_ are subject to restriction and/or election requirement.

## Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on \_\_\_\_\_ is: a) ☐ approved b) ☐ disapproved by the Examiner.  
If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

## Priority under 35 U.S.C. §§ 119 and 120

- 13) ☐ Acknowledgement is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  
a) ☐ All b) ☐ Some\* c) ☐ None of:  
1. ☐ Certified copies of the priority documents have been received.  
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.  
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  
\*See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgement is made of a claim for domestic priority under 35 U.S.C. § 119(e).  
a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgement is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

## Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892) 4) ☐ Interview Summary (PTO-413) Paper No(s). \_\_\_\_\_
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948) 5) ☐ Notice of Informal Patent Application (PTO-152)
- 3) ☒ Information Disclosure Statement(s) (PTO-1449) Paper No(s). 6 6) ☐ Other:

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**Examiner Pierre Eddy Elisca**  
**United States Department of Commerce**  
**Patent and Trademark Office**  
**Washington, D.C. 20231**

**DETAILED ACTION**

1. This Office action is in response to Application No. 09/470,042, filed on 12/22/1999.
2. Claims 1-18 are presented for examination.

***Claim Rejections - 35 USC § 103***

3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

4. Claims 1-18 are rejected under 35 U.S.C. 103 (a) as being unpatentable over Chelliah et al. (U.S. Pat. No. 5,710,887) in view of Ginter et al (U.S. Pat. No. 6,185,683).

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**As per claims 1, 3, 4, 6, 7, 9, 10, 12, 13, 15, 16 and 18 Chelliah substantially discloses a system/method for facilitating commercial transaction, between a plurality of customers and at least one supplier of items over a computer driven network capable of providing communications between the supplier and at least 6 one customer site associated with each customer (which is seen to read as Applicant's claimed invention wherein it is stated that a method for creating a finalized document (or items), comprising the steps of:**

**allowing the negotiation of terms of an agreement between a buyer and a seller (see., abstract, supplier and customer, please note that the step of monitoring customer object that is created by referencing information, relating to that customer, which had been stored in the customer information database and when the customer selects a supplier is readable as the step of negotiating terms between buyer and seller or supplier and customer);**

**displaying a form detailing the negotiations of the terms (see., abstract, col 3, lines 18-45);**

**organizing and storing documents supporting the form (see., abstract, col 3, lines 18-45); and**

**initiating payment to the seller only after receiving a verification of credit of the buyer (see., abstract, specifically wherein it is stated that receiving customer communications, indicating a desire to receive the item, and passing a communication to initiate the delivery of the item to the customer, please note that in order to initiate the delivery of the item a payment must be provided, and also col 3, lines 47-56, col 4, lines 6-15). It is to be noted that Chelliah fails to explicitly disclose a digital signature of the form of the detailing negotiations .**

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**However, Ginter discloses** a trusted and secure techniques, systems and methods for item delivery and execution wherein documents and other items can be delivered electronically from sender to recipient. Documents can be marked with digital signature (see., abstract, col 7, lines 28-38, col 9, lines 40-49, col 23, lines 60-67, col 24, lines 1-10). Accordingly, it would have been obvious to a person of ordinary skill in the art at the time the invention was made to modify the commercial transaction of **Chelliah** by including the limitation detailed above as taught by **Ginter** because such modification would provide a system/method that can affix visible seal on printed items or terms or documents such as documents for use both in encoding receipt and other receipt and/or usage related information and for establishing a visible presence and impact regarding the authenticity, and ease of checking the authenticity, of the item. (See., Ginter, col 7, lines 33-38).

**As per claims 2, 5, 8, 11, 14 and 17 Chelliah** discloses the claimed method, wherein the form includes a first section indicating the terms, a second section for allowing the buyer to sign off on the terms, and a third section for allowing the seller to sign off on the terms (see., col 4, lines 16-26, please note that Chelliah discloses incentives to encourage the customer to complete a transactions, and therefore, an agreement or sign off and on between a customer and a buyer must be required).

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**CONCLUSION**

5. Any inquiry concerning this communication from the examiner should be directed to Pierre Eddy Elisca at (703) 305-3987. The examiner can normally be reached on Tuesday to Friday from 6:30AM to 5:00PM.

If any attempt to reach the examiner by telephone is unsuccessful, the examiner's supervisor, James Trammell can be reached on (703) 305-9769.

**Any response to this action should be mailed to:**

Commissioner of Patents of Trademarks

Washington, D.C. 20231

**or faxed to:**

(703) 308-9051, (for formal communications intended for entry)

**OR**

(703) 305-9724, (for informal or draft communications, please label "PROPOSED" or "DRAFT")

Hand-delivered responses should be brought to Crystal Park II, 2121 Crystal Drive, Arlington, VA., Sixth floor (receptionist).

The Official Fax Numbers For TC-3600 Are:

After-final/Official/Non-Official/Draft (703) 305-7687

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Pierre Eddy Elisca

Patent Examiner

June 20, 2002